



#4 2877

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of

Applicant(s) : Enos Avid Lemus-Tejada
Serial No. : 09/943,077
Filed : August 30, 2001
Title : INTEGRATED OPTICAL TIME DOMAIN REFLECTOMETER
AND OPTICAL SUPERVISORY NETWORK
Docket : SOI 0002 PA
Confirmation No. : 7691
Art Unit : 2877

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on November 28, 2001.

James E. Beyer

Reg. No. 39,564

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §§ 1.56, 1.97, AND 1.98

Applicants submit herewith patents, publications, and other information of which they are aware, which they believe may be material, as defined in 37 CFR §1.56(b), to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR §1.56(a). While the information referred to in this Information Disclosure Statement may be material pursuant to 37 CFR §1.56(b), the filing of this Information Disclosure Statement is not intended to, pursuant to 37 CFR §1.97(h), constitute an admission that any patent, publication, or other information referred to is, or is considered to be, material to the patentability of this invention. Further, pursuant to 37 CFR §1.97(g), the filing of this Statement should not be construed as a statement that a search has been made or that no other material information exists.

A listing of all items of information cited is attached on PTO/SB/08A, a substitute for form 1449A/PTO. Copies of the items are enclosed for the convenience of the Examiner.


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Serial No. 09/943,077
Docket No. SOI 0002 PA

This Information Disclosure Statement is being filed within the period set forth in 37 CFR §1.97(b) because it is believed to be filed before the mailing date of a first office action on the merits.

Respectfully submitted,
KILLWORTH, GOTTMAN, HAGAN
& SCHAEFF, L.L.P.

By


James E. Beyer
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09/943,077

August 30, 2001

Enos Avid Lemus-Tejada

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SOI 0002 PA

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	Not Considered	Considered
1. The company's financial performance over the last year.		
2. The company's market share compared to its competitors.		
3. The company's customer satisfaction ratings.		
4. The company's employee turnover rate.		
5. The company's innovation pipeline.		
6. The company's sustainability initiatives.		
7. The company's brand reputation.		
8. The company's debt-to-equity ratio.		
9. The company's research and development spending as a percentage of revenue.		
10. The company's compliance record with industry regulations.		

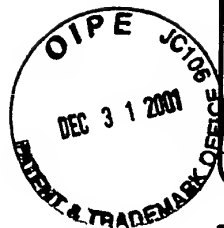
¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449B/PTO		Complete if Known			
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	09/943,077		
		Filing Date	August 30, 2001		
		First Named Inventor	Enos Avid Lemus-Tejada		
		Group Art Unit	2877		
		Examiner Name			
Sheet	2	of	2	Attorney Docket Number	SOI 0002 PA

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials ¹	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Photon Probe: An Optical-Fiber Time-Domain Reflectometer, Personick, Bell Systems Technical Journal, Vol. 56, No. 3, March 1977, 56:355.	
		Theory of Backscattering Effects in Waveguides, Kapron, F., R. Maurer & M. Teter, Applied Optics, Vol. 11, No. 6, June 1972, 1352-1356.	
		New OTDR Testing Techniques for Cable and Fiber Production Environments, A. Lara, http://www.exfo.com .	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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